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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|------------------------|------------------------|
| 10/764,786 | 01/26/2004 | Thomas William Deveney | SAR 15036 |

CONFIRMATION NO. 9752

32364
DANN, DORFMAN, HERRELL & SKILLMAN, P.C.
SARNOFF CORPORATION
1601 MARKET STREET, SUITE 720
PHILADELPHIA, PA 19103

FORMALITIES LETTER



OC000000012522094

10/08/2004 HDEMESS1 00000010 041406 10764786

01 FC:1051 130.00 OP

Date Mailed: 05/04/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/07/2004 HDEMESS1 00000057 041406 10764786

FILED UNDER 37 CFR 1.53(b)

02 FC:1051 10.00 DA 120.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Adjustment date: 10/08/2004 HDEMESS1
10/07/2004 HDEMESS1 00000057 041406 10764786
02 FC:1051

*A copy of this notice **MUST** be returned with the reply.*

CentiaKia

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FEE TRANSMITTAL

| | | |
|--|-------------------------------|--|
| OCT 06 2004 PATENT & TRADEMARK OFFICE | | Complete if known |
| | | Application Number: 10/764,786 |
| | | Filing Date: January 26, 2004 |
| | | First Named Inventor: Thomas William Deveney et al. |
| | | Title: Controlled-release Drug Delivery System |
| TOT. AMT. OF PAYMENT: (1) + (2) + (3) = <u>\$ 1,100.00</u> | Our File No.: SAR15036 | |

| METHOD OF PAYMENT (check one) | FEE CALCULATION (continued) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|---------------------------------|--------------------|-----------------------------------|-------------------|--|------------------|---------------------------|--------------------|--|------------------------|--|---------------------|---|---|---|-------|--|--------------|---|--------|--|-------|------------------|--------------------|--|-------|--|-------|---|---|--|-------|--|-------|-----------|---|---------------------------|-------|---------------------|----------------|---------------------------------|-------|---|-------|--|-------|--|-------|---|-------|--|-------|---------------------------|-------|
| <p><input checked="" type="checkbox"/> Authorization is hereby granted to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 04-1406.</p> <p><input checked="" type="checkbox"/> Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.</p> <p><input checked="" type="checkbox"/> Any patent application processing fees under 37 C.F.R. § 1.17</p> <p>Any extensions of time that are required to maintain this application in a pending status, if not included herewith, are hereby requested. Authorization is hereby granted to charge such extension fees to Deposit Account No. 04-1406. Two copies of this transmittal are enclosed for accounting purposes.</p> <p><input checked="" type="checkbox"/> Payment enclosed: <u>\$ 1,100.00</u></p> | <p>3. ADDITIONAL FEES</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%; text-align: left; padding-bottom: 2px;">Fee Description</th> <th style="width: 30%; text-align: right; padding-bottom: 2px;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td style="padding-top: 2px;">Surcharge-late filing fee or oath</td> <td style="text-align: right; padding-top: 2px;">130.00</td> </tr> <tr> <td>Surcharge - late provisional filing fee or cover sheet</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Non-English specification</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>For filing a request for reexamination</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Requesting publication of SIR prior to Examiner action</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Requesting publication of SIR after Examiner action</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Extension for response within first month</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Extension for response within second month</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Extension for response within third month</td> <td style="text-align: right;">980.00</td> </tr> <tr> <td>Extension for response within fourth month</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Notice of Appeal</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Filing a brief in support of an appeal</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Request for oral hearing</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Petition to institute a public use proceeding</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Petition to revive unavoidably abandoned application</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Petition to revive unintentionally abandoned application</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Issue fee</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Advance Order (10 copies)</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Publication Fee</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Petitions to the Commissioner</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Petitions related to provisional applications</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Submission of Information Disclosure Stmt.</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Recording each patent assignment per property (times number of properties)</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Other fee (specify) _____</td> <td style="text-align: right;">_____</td> </tr> </tbody> </table> | Fee Description | Fee Paid | Surcharge-late filing fee or oath | 130.00 | Surcharge - late provisional filing fee or cover sheet | _____ | Non-English specification | _____ | For filing a request for reexamination | _____ | Requesting publication of SIR prior to Examiner action | _____ | Requesting publication of SIR after Examiner action | _____ | Extension for response within first month | _____ | Extension for response within second month | _____ | Extension for response within third month | 980.00 | Extension for response within fourth month | _____ | Notice of Appeal | _____ | Filing a brief in support of an appeal | _____ | Request for oral hearing | _____ | Petition to institute a public use proceeding | _____ | Petition to revive unavoidably abandoned application | _____ | Petition to revive unintentionally abandoned application | _____ | Issue fee | _____ | Advance Order (10 copies) | _____ | Publication Fee | _____ | Petitions to the Commissioner | _____ | Petitions related to provisional applications | _____ | Submission of Information Disclosure Stmt. | _____ | Recording each patent assignment per property (times number of properties) | _____ | Filing a submission after final rejection (37 CFR 1.129(a)) | _____ | For each additional invention to be examined (37 CFR 1.129(b)) | _____ | Other fee (specify) _____ | _____ |
| Fee Description | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Surcharge-late filing fee or oath | 130.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Surcharge - late provisional filing fee or cover sheet | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Non-English specification | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| For filing a request for reexamination | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Requesting publication of SIR prior to Examiner action | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Requesting publication of SIR after Examiner action | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for response within first month | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for response within second month | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for response within third month | 980.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extension for response within fourth month | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Notice of Appeal | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Filing a brief in support of an appeal | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Request for oral hearing | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petition to institute a public use proceeding | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petition to revive unavoidably abandoned application | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petition to revive unintentionally abandoned application | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Issue fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Advance Order (10 copies) | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Publication Fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petitions to the Commissioner | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Petitions related to provisional applications | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Submission of Information Disclosure Stmt. | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Recording each patent assignment per property (times number of properties) | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Filing a submission after final rejection (37 CFR 1.129(a)) | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| For each additional invention to be examined (37 CFR 1.129(b)) | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Other fee (specify) _____ | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>1. FILING FEE</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%; text-align: left; padding-bottom: 2px;">Fee Description</th> <th style="width: 30%; text-align: right; padding-bottom: 2px;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Utility filing fee</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Design filing fee</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Plant filing fee</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Reissue filing fee</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Provisional filing fee</td> <td style="text-align: right;">_____</td> </tr> <tr> <td style="text-align: right; padding-top: 2px;">SUBTOTAL (1)</td> <td style="text-align: right; padding-top: 2px;"><u>\$ 0.00</u></td> </tr> </tbody> </table> | Fee Description | Fee Paid | Utility filing fee | _____ | Design filing fee | _____ | Plant filing fee | _____ | Reissue filing fee | _____ | Provisional filing fee | _____ | SUBTOTAL (1) | <u>\$ 0.00</u> | <p>2. CLAIMS</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%; text-align: left; padding-bottom: 2px;">Extra</th> <th style="width: 15%; text-align: right; padding-bottom: 2px;">Fee</th> <th style="width: 15%; text-align: right; padding-bottom: 2px;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td style="text-align: right;">_____</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Presented <u>20</u> - <u>(a)</u> = <u>0</u> x <u>9.00/18.00</u> = <u>0</u></td> <td style="text-align: right;">_____</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: right;">_____</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Presented <u>3</u> - <u>(b)</u> = <u>0</u> x <u>44.00/88.00</u> = <u>0</u></td> <td style="text-align: right;">_____</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Multiple Dependent Claim (first presentation)</td> <td style="text-align: right;">_____</td> <td style="text-align: right;">0</td> </tr> <tr> <td>(a) Enter 20 or number previously paid for</td> <td colspan="2" style="text-align: right; padding-top: 2px;">_____</td> </tr> <tr> <td>(b) Enter 3 or number previously paid for</td> <td colspan="2" style="text-align: right; padding-top: 2px;">_____</td> </tr> <tr> <td style="text-align: right; padding-top: 2px;">SUBTOTAL (2)</td> <td style="text-align: right; padding-top: 2px;"><u>\$ 0.00</u></td> <td style="text-align: right; padding-top: 2px;">SUBTOTAL (3) \$ 1,110.00</td> </tr> </tbody> </table> | Extra | Fee | Fee Paid | Total Claims | _____ | _____ | Presented <u>20</u> - <u>(a)</u> = <u>0</u> x <u>9.00/18.00</u> = <u>0</u> | _____ | _____ | Independent Claims | _____ | _____ | Presented <u>3</u> - <u>(b)</u> = <u>0</u> x <u>44.00/88.00</u> = <u>0</u> | _____ | _____ | Multiple Dependent Claim (first presentation) | _____ | 0 | (a) Enter 20 or number previously paid for | _____ | | (b) Enter 3 or number previously paid for | _____ | | SUBTOTAL (2) | <u>\$ 0.00</u> | SUBTOTAL (3) \$ 1,110.00 | | | | | | | | | | | | | |
| Fee Description | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Utility filing fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Design filing fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Plant filing fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Reissue filing fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Provisional filing fee | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (1) | <u>\$ 0.00</u> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Extra | Fee | Fee Paid | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total Claims | _____ | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Presented <u>20</u> - <u>(a)</u> = <u>0</u> x <u>9.00/18.00</u> = <u>0</u> | _____ | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Independent Claims | _____ | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Presented <u>3</u> - <u>(b)</u> = <u>0</u> x <u>44.00/88.00</u> = <u>0</u> | _____ | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Multiple Dependent Claim (first presentation) | _____ | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Enter 20 or number previously paid for | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (b) Enter 3 or number previously paid for | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (2) | <u>\$ 0.00</u> | SUBTOTAL (3) \$ 1,110.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Submitted By:

Typed or

Printed Name Patrick J. Hagan Reg. Number 27,643

Signature Patrick J. Hagan

Date October 4, 2004

Deposit Account User ID
04-1406